

# **GOVERNANCE AND AUDIT COMMITTEE**

**Wednesday, 29th April, 2015**

**10.30 am**

**Darent Room, Sessions House, County Hall, Maidstone**



**There will be a presentation for Members of the Committee at 10.00 am on  
“Annual Governance Statement – What assurance does it give us?”**





## AGENDA

### GOVERNANCE AND AUDIT COMMITTEE

**Wednesday, 29th April, 2015, at 10.30 am**  
**Darent Room, Sessions House, County Hall,**  
**Maidstone**

Ask for: **Andrew Tait**  
Telephone: **03000 416749**

*Tea/Coffee will be available 15 minutes before the start of the meeting*

#### **Membership (15)**

Conservative (8)	Mr R L H Long, TD (Chairman), Mr J A Davies, Mr S C Manion, Mr R A Marsh and Mr J E Scholes	Mr R J Parry (Vice-Chairman), Mr E E C Hotson, Mr A J King, MBE,
UKIP (3)	Mr H Birkby, Mr C P D Hoare and Mr B Neaves	
Labour (2)	Mr W Scobie and Mr D Smyth	
Liberal Democrat (1):	Mr R H Bird	
Independents (1):	Mr M E Whybrow	

#### **Webcasting Notice**

Please note: this meeting may be filmed for live or subsequent broadcast via the Council's internet site – at the start of the meeting the Chairman will confirm if all or part of the meeting is being filmed.

By entering the meeting room you are consenting to being filmed and to the possible use of those images and sound recordings for webcasting and/or training purposes. If you do not wish to have your image captured then you should make the Clerk of the meeting aware.

#### **UNRESTRICTED ITEMS**

*(During these items the meeting is likely to be open to the public)*

1. Introduction/Webcasting
2. Substitutes
3. Declarations of Interest in items on the agenda for this meeting

4. Minutes (Pages 7 - 14)  
Committee: 29 January 2015  
Trading Activities Sub-Committee: 9 March 2015
5. Committee Work and Member Development Programme (Pages 15 - 20)
6. Update on Savings Programme (Pages 21 - 22)
7. Review of KCC's Risk Management Policy and Strategy (Pages 23 - 44)
8. Internal Audit and Counter Fraud Plan 2015-16 (Pages 45 - 128)
9. Internal Audit and Counter Fraud Progress Report (Pages 129 - 190)
10. RIPA Report on Surveillance, covert human intelligence source and telecommunications data requests carried out by KCC between 1 April 2014 and 31 March 2015 (Pages 191 - 214)
11. Treasury Management Quarterly Report (Pages 215 - 224)
12. Revised Accounting Policies (Pages 225 - 230)
13. Updated Financial Regulations (Pages 231 - 270)
14. External Audit Update - April 2015 (Pages 271 - 290)
15. External Audit Plans for Kent County Council and Kent Superannuation Fund 2014/15 (Pages 291 - 322)
16. Fraud, Law and Regulations and Going Concerns Considerations (Pages 323 - 342)
17. Write-off report - Invoice Number 2013724 (Pages 343 - 344)
18. Other items which the Chairman decides are urgent
19. Motion to exclude the public  
That under Section 100A of the Local Government Act 1972 the public be excluded from the meeting for the following business on the grounds that it involves the likely disclosure of exempt information as defined in paragraphs 2 and 5 of Part 1 of Schedule 12A of the Act.

### **EXEMPT ITEMS**

*(During these items the meeting is likely NOT to be open to the public)*

20. Write-off report - Invoice Number 2013724 (Pages 345 - 350)

Peter Sass  
Head of Democratic Services  
03000 416647

**Tuesday, 21 April 2015**

*Please note that any background documents referred to in the accompanying papers may be inspected by arrangement with the officer responsible for preparing the relevant report.*